# INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 5:

A61B 5/00

(11) International Publication Number:

WO 92/10131

(43) International Publication Date:

25 June 1992 (25.06.92)

(21) International Application Number:

PCT/US91/08416

A1

(22) International Filing Date:

12 November 1991 (12.11.91)

(30) Priority data:

627,631

14 December 1990 (14.12.90) US

(71) Applicant: GEORGIA TECH RESEARCH CORPORA-TION [US/US]; 225 North Avenue, Atlanta, GA 30332-0420 (US).

(72) Inventors: TARR, Randall, V.; 2901 Sequoyah Drive, Atlanta, GA 30327 (US). STEFFES, Paul, G.; 5786 Killingsworth Trace, Norcross, GA 30092 (US).

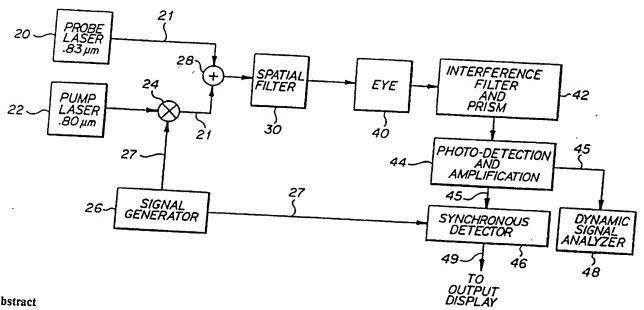
(74) Agent: DEVEAU, Todd; Hurt, Richardson, Garner, Todd & Cadenhead, 999 Peachtree Street, N.E., Suite 1400, Atlanta, GA 30309-3999 (US).

(81) Designated States: AT (European patent). BE (European patent), CA, CH (European patent), DE (European patent), DK (European patent), ES (European patent), FR (European patent), GB (European patent), GR (European patent), IT (European patent), JP, LU (European patent), NL (European patent), SE (European patent).

### Published

With international search report.

(54) Title: NON-INVASIVE BLOOD GLUCOSE MEASUREMENT SYSTEM



#### (57) Abstract

Stimulated Raman spectroscopy is used to non-invasively measure the concentration of a Raman active molecule, preferably D-glucose in the ocular aqueous humor of living being. The apparatus and method make use of two monochomatic laser beams, a pump laser beam and a probe laser beam. The output power of the pump laser beam is amplitude modulated, combined with the probe laser beam and directed into the ocular aqueous humor. The introduction of the laser beams into the ocular aqueous humor induces scattered Raman radiation, which causes a portion of the energy at the pump frequency to shift over to the probe frequency. The pump and probe laser beams are then detected as they exit the ocular aqueous humor. The probe laser beam is filtered, converted into an electrical signal and amplified. It is then compared to the modulation signal to generate an electrical

## FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

			•		Madagagag
AT	Austria	<b>€S</b>	Spain	MG	Modegascar
AU	Australia	FL	Finland	ML	Mali
88	Barbudos	FR	France	MN	Mongolia
38	Belgium	GA	Gabon	MR	Mauritania
BF	Burkina Faso	G5	United Kingdom	MW	Malawi
BG.	Bulgaria	GN	Guinca	NL	Netherlands
BJ .	Bunin	GR	Greece	NO	Norway
BR.	Bezil ·	HU	Hungary	PL	Poland
CA	Conada	ıτ	Italy	RO	Romaniu
		جر ا	Japan .	SD	Sudan
CF .	Central African Republic	KP	Democratic People's Republic	SE	Sweden
œ	Congo	N.F	of Korua	SN	Senegul
CH	Switzerland			su+	Soviet Union
CI	Côte d'Ivoire	KR	Republic of Korca		
CM	Cameroon	LI	Liechtenstein	TD	Chad
cs	Crechoslovakiu	LK	Sri Lanka	TG	Togo
DE+	Germany	LU	Luxembourg	ບຣ	United States of America
DK	Dunmark.	MC	Monaco		•

<sup>+</sup> Any designation of "SU" has effect in the Russian Federation. It is not yet known whether any such designation has effect in other States of the former Soviet Union.

# NON-INVASIVE BLOOD GLUCOSE MEASUREMENT SYSTEM BACKGROUND OF THE INVENTION

## A. Field of the Invention

The present invention relates to a non-invasive method 5 and apparatus for measuring the concentration of D-glucose in the ocular aqueous humor. More particularly, the present invention is a non-invasive technique for the in vivo measurement of the glucose concentration in the ocular aqueous humor employing the stimulated Raman 10 effect.

## B. Background of the Invention

Diabetes Mellitus is a major health problem in the world today because of the physical complications which arise from living many years with above-normal blood 15 glucose levels. Currently, over 11 million people suffer from diabetes in the United States alone. The two most common forms of diabetes are Type I, juvenile-onset, and Type II, adult-onset. Type I diabetes destroys the vast majority of insulin-producing beta cells the 20 pancreas, forcing its sufferers to take multiple daily insulin injections. Type II diabetes is usually less severe than Type I as some endogenous insulin production still occurs and, as a result, Type II diabetes can often be controlled by diet alone.

25 The body requires insulin for many metabolic processes; it is particularly important to the metabolism of glucose. It is believed that many of the physical complications associated with diabetes could be avoided if normal blood glucose levels were maintained throughout 30 each day. A diabetic's blood glucose level can fluctuate widely around each meal. Maintaining normal blood glucose levels and reducing these fluctuations requires using some form of feedback to regulate the multiple daily insulin shots of Type I diabetics or the diet of Type 35 diabetics.

Currently, the blood glucose level can be determined

by a chemical reaction performed on a blood sample. Although the state of the art glucose measurement devices are very accurate, the need for a blood sample for each measurement limits their utility. The most dedicated 5 diabetic patient may take only 4 or 5 measurements per day, and many diabetics perform even fewer. Because a diabetic's blood glucose level can fluctuate by a factor of two or more in a period of an hour, this method cannot provide the feedback necessary to maintain a normal blood 10 glucose level throughout the day.

A non-invasive blood glucose measurement technique would allow a large number of daily measurements to be taken without the problems associated with taking blood been attempted Various schemes have samples. level. glucose 15 non-invasively measure blood promising techniques attempt to measure the glucose level in the ocular aqueous humor because it has been shown that the ocular glucose level directly correlates to the blood glucose level and because the ocular aqueous 20 provides a much simpler spectroscopic environment than the blood.

D-glucose occurs normally and in abundance in both the blood and the ocular aqueous humor. There are two anomers of D-glucose found in nature:  $\alpha$ -D-glucose and 25  $\beta$ -D-glucose, which differ only in the orientation of the groups attached to the C-l carbon. Physically, these two anomers of D-glucose can be distinguished by their optical activity; i.e. based upon their ability to rotate the plane of polarization when illuminated with plane 30 polarized light. In general, the specific rotation,  $[\alpha]$ , is defined as

 $(1) \qquad \qquad [\alpha] = \underline{\alpha}$ 

where  $\alpha$  is the total optical rotation of the plane of polarization measured in degrees,  $\iota$  is the length of the sample in decimeters, and d is the density in  $g/cm^3$ . The specific rotations of  $\alpha$ -D-glucose and  $\beta$ -D-glucose are 112 and 19 degrees, respectively. In solution, one anomer is converted into the other as necessary to achieve an equilibrium solution which has a specific rotation of 52.7 degrees.

Since the specific rotation of D-glucose in solution-10 is known, from Equation (1) one can infer concentration of D-glucose in a given sample by measuring the total optical rotation. The accuracy and linearity observed at very low D-glucose concentrations led March et al. to attempt non-invasive measurements in the eyes of See Rabinovitch, March and Adams, Non-invasive 15 rabbits. Glucose Monitoring of the Aqueous Humor of the Eye: Part I. Measurements of Very Small Optical Rotations, 5 Diabetes Care 1254 (May-June 1982); March, Rabinovitch and Adams, Non-invasive Glucose Monitoring of the Aqueous 20 Humor of the Eye: Part II. Animal Studies and the Scleral Lens, 5 Diabetes Care 259 (May-June 1982). Unfortunately, March and his colleagues experienced great difficulty in measuring the concentration of D-glucose in the ocular aqueous humor. Many compounds in the ocular aqueous humor 25 other than D-glucose are optically active and contribute the rotation of the plane of polarization. addition, the cornea has birefringence, which causes further rotation of the plane of polarization of the incident light. See generally, Gough, The Composition of 30 and Optical Rotary Dispersion of Bovine Aqueous Humour, 5 Diabetes Care 266 (May-June 1982).

Both spontaneous and stimulated Raman spectroscopy are potentially useful to measure the concentration of an Raman active molecule in a medium. With spontaneous Raman 35 spectroscopy a monochromatic laser beam is directed into a

Raman-active medium. Some of the incident beam is transmitted, some of it is absorbed, and some of it is scattered. A small fraction of the radiation scattered is shifted in frequency from the incident beam. The amount of this relative frequency shift is related to the vibrational states of the Raman active molecules in the medium. The problem with spontaneous Raman scattering is that the Raman power is scattered in all directions. This makes the detection of the scattered radiation difficult 10 for in vivo measurements.

Stimulated Raman spectroscopy (SRS) directs two monochromatic laser beams, a pump laser beam and a probe laser beam, into a Raman active medium. If the power of the pump laser is modulated, then the spontaneous Raman scattered power will also be modulated, which will induce a signal on the probe laser beam. Thus, rather than measuring the spontaneous Raman scattered power directly, a measurement of an intensity fluctuation of the probe laser beam can be made.

Stimulated Raman spectroscopy has been successfully 20 measure very low concentrations of to selected organic liquids diluted by water and other and Jones performed а Owyoung solvents. experiments with benzene using stimulated Raman scattering 25 techniques. See Owyoung, Sensitivity Limitations for CW Stimulated Raman Spectroscopy, 22 Optics Communications 323 (Sept. 1977); Owyoung and Jones, Stimulated Raman Spectroscopy Using Low-Power CW Lasers, 1 Optics Letters Their experimental set-up consisted 152 (November 1977). 30 of two lasers, a tunable pump laser and a fixed frequency probe laser. The pump laser power was modulated while the probe laser power was held constant. The two laser beams were combined and focused through a benzene cell. cell the stimulated Raman effect caused a very small 35 fraction of the power at the pump wavelength to be shifted

to the probe wavelength. Thus, at the output of the benzene cell the probe laser beam carried modulation signal whose amplitude was proportional to the concentration of the benzene in the The probe wavelength was separated from the pump 5 cell. and converted to an electrical signal by a photodiode. Both the probe signal and the input pump modulation signal into synchronous а detector which improved the signal-to-noise ratio. The pump laser is 10 then repeatedly tuned to new wavelengths to scan a range of wavelengths, thus, obtaining a Raman spectra for the Raman-active liquid or gas. This is the same type of spectrum obtainable by using a commercially available Raman spectrometer.

Until the present invention, no one has developed a 15 technique which would allow for non-invasive in vivo measurement of the glucose concentration in the ocular March attempted a non-invasive technique aqueous humor. employing an energy wave transmitter, such as an infrared 20 source located on one side of the cornea and an associated detector on the opposite side of the cornea. Patent 3,958,650. The wave source is aimed to cause the radiation to pass through the cornea and the aqueous humor to the detector. A transmitter is mounted adjacent to the 25 detector and coupled thereto for transmitting a signal that is a function of the radiation level detected. technique is seriously flawed. The radiation detected will be а function of the concentration of substituents in the humor, not just glucose. The later 30 optical rotation technique of March, Rabinovitch and Adams suffers from a similar flaw. Further, no one, until now, has determined whether stimulated Raman spectroscopy may be successfully used to measure concentrations of glucose in the ocular aqueous humor.

## SUMMARY OF THE INVENTION

A non-invasive blood glucose measurement technique would allow more frequent measurement of blood glucose concentrations without the problems associated with taking 5 blood samples. The present invention achieves this goal by providing an apparatus and a method for non-invasively measuring the in vivo concentration of an Raman active molecule in the ocular aqueous humor by using stimulated apparatus οf the spectroscopy. The 10 invention includes a means for emitting a probe laser beam and a means for emitting a pump laser beam. Both means separated laser light and are emit monochromatic within a wavelength chosen be to wavelength by characteristic Raman shift spectrum for the Raman active 15 molecule. By setting the separation in wavelength between the pump and probe lasers, one may select which one of a number of Raman active molecules will be measured. preferred embodiment the selected Raman active molecule is D-glucose and the separation between the probe wavelength 20 and pump wavelength is chosen to be 518 cm<sup>-1</sup> in accordance spectrum the characteristic Raman shift with D-glucose.

The apparatus also includes a modulating means for modulating the output power of the pump laser beam. A power source is provided for the probe laser for maintaining its power output substantially constant. The modulated pump laser beam is then combined with the probe laser beam by a means for directing the combined laser beams into the ocular aqueous humor.

30 The introduction of light into the ocular aqueous humor stimulates Raman radiation which shifts energy from the pump frequency to the probe frequency, thereby inducing fluctuations in the probe laser beam directly related to the concentration of the selected Raman active 35 molecule in the ocular aqueous humor. After the probe

laser beam exits the ocular aqueous humor, means are provided for detecting the probe laser beam and converting it into a Raman electrical signal. The Raman electrical signal is then compared to the modulation signal by a synchronous detector, a dynamic signal analyzer, or a computer based synchronous detection system, to produce a voltage representative of the concentration of the Raman active molecule in the ocular aqueous humor.

The method of the present invention non-invasively 10 measures the in vivo concentration of an Raman active in the ocular aqueous humor molecule using stimulated Raman spectroscopy. Two monochromatic laser beams provided, a probe laser beam and a pump laser beam. probe laser beam and the pump laser beam wavelengths are 15 separated from each other by a wavelength within characteristic Raman spectrum for the Raman molecule being measured. In the preferred embodiment, the Raman active molecule is D-glucose and the separation in frequency preferably chosen to be 518 cm<sup>-1</sup>. The output 20 power of the probe laser beam should be maintained substantially constant, while the output power of the pump laser beam is modulated by a modulation signal. probe laser beam and the modulated pump laser beam are and directed into the ocular aqueous combined 25 thereby stimulating Raman scattered radiation. The probe laser beam is detected after it exits the ocular aqueous humor and converted into an electrical signal. electrical signal is then compared with the modulation signal to produce a voltage representative concentration 30 of the Raman active molecule.

It is, therefore, an object of the present invention to provide a system for measuring an Raman active molecule in the ocular aqueous humor.

It is another object of the present invention to 35 provide a system for measuring very small D-glucose concentrations.

It is yet another object of the present invention to make non-invasive in vivo measurements of D-glucose concentration.

It is a further object of the present invention to 5 allow multiple daily measurements of D-glucose to be made non-invasively.

It is a still further object of the present invention to provide a non-invasive glucose measurement system which is inexpensive to manufacture, durable in construction, 10 and efficient in operation.

These and other advantages will become apparent in the discussion below.

## BRIEF DESCRIPTION OF THE DRAWINGS

- Fig. 1 is a graph of the spontaneous Raman spectrum 15 for D-glucose.
  - Fig. 2 illustrates the stimulated Raman spectroscopy (SRS) wavelength selection for the preferred embodiment.
  - Fig. 3 is a block diagram of one embodiment of the present invention.
- Fig. 4 depicts the preferred amplitude modulation of the pump laser power versus time.
  - Fig. 5 is a top view of an eye showing entering and exiting laser beams.
- Fig. 6 illustrates the modulation of the detected 25 probe laser power after extraction versus time.
  - Fig. 7 illustrates the apparatus of the present invention for in vivo measurement.
  - Fig. 8 is a block diagram an altérnative embodiment of the present invention.
- 30 Fig. 9 is a block diagram of another alternate embodiment of the present invention using bulk optics.

## DETAILED DESCRIPTION

When a monochromatic laser beam is incident on a Raman-active medium some of the incident beam is 35 transmitted, some of it is absorbed, and some of it is

scattered. A small fraction of the radiation scattered is shifted in frequency from the incident beam. The amount of this relative frequency shift is related to the vibrational states of the molecules in the medium. The D-glucose molecule has several possible Raman active vibrational states so that the Raman scattered power forms a spectrum which is characteristic of D-glucose alone.

Turning now the drawings in which like numerals denote corresponding parts the preferred embodiment of 10 present invention is shown. Fig. 1 illustrates the characteristic spectrum for D-glucose dissolved in water showing the relative intensity of spontaneous Raman power versus the frequency shift. Each of the peaks in the spectrum corresponds to a particular vibration of the 15 D-glucose molecule, the largest peak occurring at frequency shift of 518  $\,\mathrm{cm}^{-1}$ . The absolute intensities of the peaks are directly related to the concentration of the Raman-active molecule, in this case D-glucose.

Use of a single monochromatic laser beam to generate 20 spontaneous Raman scattering is difficult for in vivo measurements since the Raman power is scattered in all This problem can be solved by having two directions. monochromatic laser beams (a pump laser and a probe laser) incident on the chosen sample. Thus, in the preferred 25 embodiment, the probe laser is at the same frequency as Raman scattered power from a large peak in the D-glucose spectrum, as illustrated in Fig. 2. laser is at a frequency whose difference from the probe frequency is equal to the frequency shift of the large 30 peak selected for the probe laser. In order to use stimulated Raman spectroscopy to measure the concentration of D-glucose in the ocular aqueous humor, the frequency difference between the pump laser beam and the probe laser beam must be chosen to coincide with one of the peaks in 35 the Raman spectrum for D-glucose. If the power output of

the pump laser is modulated, then the spontaneous Raman scattered power will also be modulated which will induce a signal on the probe laser beam. Rather than measuring the spontaneous Raman scattered power directly, a measurement of an intensity fluctuation on the probe laser beam is made. This method is called stimulated Raman spectroscopy.

As shown in Fig. 3, the present invention involves two lasers, a probe laser 20 and a pump laser 22. Both lasers 20 and 22 emit monochromatic laser beams. The relative wavelength difference between these two laser beams is adjusted to be the same as the wavelength shift of one of the largest spontaneous Raman peaks for D-glucose, 518 cm<sup>-1</sup>. Other peaks unique to the D-glucose spectrum may be chosen, for example, 400 cm<sup>-1</sup>.

The probe laser 20 chosen operates at a wavelength of approximately 0.83 micrometers with an output power of 20 mW. The probe laser power output should remain substantially constant over time in order to minimize errors in measurement. Thus, the sensitivity of the system is directly related to the ability to maintain the probe laser power output constant. The laser diode chosen, an SDL-1401-H2, is slightly tunable with temperature.

The pump laser 22 chosen emits light with a wavelength 25 of approximately 0.8 micrometers and an output power of 100 mW. The power output of the pump laser should ordinarily be greater than that of the probe laser by about 5X depending upon the threshold conditions for the medium. The pump laser wavelength is also slightly 30 tunable with temperature to allow fine adjustment for optimal signal level.

The actual wavelengths chosen for the pump and probe laser beams are not as important as the separation between them. That separation should correspond to a vibrational 35 state of the Raman active molecule being measured. For

example, should it be desirable to concentrate on the D-glucose peak at 400 cm<sup>-1</sup>, a different separation would be chosen for the wavelengths output by the probe and pump laws. The particular wavelengths of 0.8 and 0.83 were 5 selected because of the availability of commercial diode lasers of such wavelengths.

The pump laser beam is amplitude modulated by a biased square wave signal from signal generator 26. of signal generator 26 used to modulate the current to the 10 diode of the pump laser 22 thereby modulating amplitude of the output of the laser beam. The output of pump laser 22 is a biased square wave, with a maximum amplitude of approximately 100 mW and а minimum approximately 0 mW. An example of amplitude modulated 15 pump laser power over time is illustrated in Fig. 4.

It is possible to use other types of modulation to measure the concentration of Raman active molecules using stimulated Raman spectroscopy. Amplitude modulation was chosen over other types of modulation, such as pulse width 20 modulation, because amplitude modulation is easier to generate. Further, the type of modulation chosen affects the complexity of the detection scheme that must be used. The choice of modulation technique also affects the power incident upon the eye. Any technique which reduces this power reduces possible damage to the eye and is to be preferred.

The probe laser beam and the amplitude modulated pump laser beam are fed to a fiber optic coupler 28 via fiber optic pigtails 21. Only 50% of the power in the probe and pump laser beams is coupled into the fiber optic pigtails 21 due to their coupling efficiency. Another 50% of the power in the probe and pump laser beams is lost when the fiber optic pigtails 21 are joined together by optic coupler 28. Thus, the maximum power which could reach eye 35 40 is approximately 25 mW when starting with a laser power

output of 100 mW.

optic coupler 28 combines the and probe modulated pump laser beams and directs them filter 30 The spatial 30. filter spatial optional intensity to Guassian 5 converts the cross-section Distribution which allows the beam to be focused more precisely and insures the complete combination of the two wavelengths before the laser beams are directed into the eye 40.

The combined laser beams travel through fiber optic 10 cables associated with means for delivering the laser beams to the eye, preferably in the form of a handset (not shown). The handset is held up against eye 40 and directs the probe and modulated pump laser beams into the ocular 15 aqueous humor. The trajectory of incident laser beams 60 The laser beams 60 are passed can be seen in Fig. 5. through the cornea 36 and the aqueous humor 38 in such a manner as to bypass the lens 32 and the iris 34. probe beams 60 excite stimulated Raman radiation while 20 inside the ocular aqueous humor 38. The scattered Raman radiation causes a small amount of the energy at the pump frequency to be shifted to the probe frequency, thereby inducing fluctuations in the previously constant power level of the probe laser beam, as illustrated in Fig. 6. 25 These fluctuations in the probe laser beam power are directly related to the concentration of D-glucose in the ocular aqueous humor 38. The now modulated probe laser beam and the pump laser beam exit the eye and are coupled into an optical fiber in the handset-cable assembly.

30 The coupled detected laser beams are passed through a set of cascaded narrow band interference filters 42. These optional filters are centered at the probe wavelength plus or minus about 5 nm, thereby filtering the pump laser beam away from the probe laser beam. Thus, if 35 the probe wavelength is set at 0.83 microns, the band

width (BW) for the filters would be BW = 825 nm  $< \lambda < 835$  nm. The filters are cascaded because the desired reduction in the pump laser power cannot be achieved with a single filter. Further reduction in the power of the 5 pump wavelength may be accomplished with a prism or grating.

Thereafter, the modulated probe laser beam is applied to a photo-voltaic diode in photodetector/amplifier 44, which outputs an electrical signal. The photo-voltaic 10 diode provides a current output in relation to the amount of light input. The photodetector/amplifier 44 includes a low-noise amplifier which amplifies the low current level output of the photo-voltaic diode to achieve a voltage level compatible with the circuitry of the synchronous 15 detector 46. A transresistance gain of greater than 108 is achieved by the low noise amplifier, which also filters off the large DC bias.

The operating band of the low noise amplifier determined by the noise spectrum of the probe laser. 20 noise spectrum of the probe laser is relatively constant between 1-10 kHZ, and increases below this frequency range. Restricting the passband of the low noise amplifier to this frequency range helps eliminate undesired noise from the probe laser. Those skilled in 25 the art will understand that the passband chosen depends upon the noise spectrum particular to the laser used as the probe laser. Different lasers may be expected to require different low noise amplifiers.

The output 45 of the photodetector/amplifier 44 is fed 30 to synchronous detector 46, such as a Princeton HR-8 PAR lock-in amplifier. The synchronous detector 46 compares output 45 to the output 27 of signal generator 26, and generates signal 49, which is representative of the concentration of D-glucose in the ocular aqueous humor. 35 The synchronous detector output 49 may then be fed to any

standard display element.

Use of the lock-in amplifier involves using the pump laser modulation signal as an external reference signal and feeding the SRS signal into the signal input of the 5 amplifier. The bandpass filter for the external reference may need to be tuned to the pump modulation frequency depending on the model of the lock-in amp. A phase offset between the reference and the SRS signal should be zeroed installation manual. in the indicated 10 appropriate gain setting for the SRS input signal should The time constant or integration time setting may vary depending upon the noise present on the signal. DC output signal may be read from the meter in the unit or directed to an external display.

An alternative, and more costly, method of generating 15 from representative electrical signal photodetector/amplifier 44 output is to feed output 45 to a dynamic signal analyzer 48, such as an HP 3561 made by Hewlett-Packard. Analyzer 48 measures the power contained 20 in the detected probe laser beam at the modulation related to the likewise This power is frequency. D-glucose concentration. Thus, it is possible to use at least two independent methods to calculate the D-glucose concentration.

The procedure for the dynamic signal analyzer invovles 25 connecting the SRS signal to the input jack of the The soft key programming is generally discussed in the user's manual for the analyzer. The frequency span should be set to a center frequency equal the pump 30 modulation frequency and a span of about 100 Hz which may vary depending upon signal noise. Preferably, the unit should also be programmed to RMS average 50 samples. There is a setting for peak tracking which will display a numerical value for the frequency peak in the is currently the signal spectrum which 35 portion of

displayed. The vertical scale units should be set to "linear" and thus the value for the SRS signal corresponding to the glucose concentration will be the value of the peak frequency component. The numerical 5 value is displayed on the screen of the analyzer.

Before using the apparatus of the present invention background measurements should be made to establish signal reference point and to be certain that there are no spurious signals in the passband of the low 10 amplifier which forms part of the photodetector/amplifier A noise spectrum measured by the synchronous detector 46 with only the probe laser turned on should be made. important background measurement is Another pump "leakthrough". Although the two narrow band 15 interference filters filter the pump wavelength, some small amount of pump power still reaches the detector 46. Since this signal is present whenever the pump laser is on, it will offset other measurements. In addition to the presence of pump leakthrough, stimulated Raman scattering 20 takes place in the fiber optic cables 21 which carry the input power to the eye 40 and this SRS signal offsets the SRS signal from D-glucose in the ocular aqueous humor. These offset signals do not directly limit the sensitivity of the D-glucose measurement since the SRS signal from the 25 D-glucose adds to the offset signals and thus the offset signals can be subtracted out in the calculation of the D-glucose concentration. But the relative amplitude of these offset signals does limit the detector gain which ultimately limits the system sensitivity.

Occurring naturally in the ocular aqueous humor, water is also a Raman-active molecule. There is no peak present in the Raman spectrum for water at the frequency shift of 518 cm<sup>-1</sup>; even so, some broad features of the water spectrum will produce an SRS signal at a shift of 35 518 cm<sup>-1</sup>. This SRS signal from water contributes to the

offset signal and should be subtracted out in the calculation of D-glucose concentration.

A schematic of the present invention for in vitro measurement can be seen in Fig. 7. The entire apparatus The pump a wheeled cart 68. on 5 is mounted supply are mounted 72 its power (SDL-2412-H2) and vertically on one side of the cart, while the probe laser are (SDL-2412-H2) and its power supply 70 vertically on the opposite side of the 10 preferred power supply for the probe laser is an LDX 3620 low noise by ILX lightwave, Montana, because of its current to the laser diode and constant power mode having an automatic compensation feature. Outputs from each of the pump and probe lasers are fed by optical fiber 15 pigtails 73 to a fiber optic coupler 75. The pigtails are multi-mode fiber optic cables to match those supplied with The combined probe and pump laser beams are the lasers. then fed by fiber optic cables 76 from the fiber optic coupler 75 up to the spatial filter 77 and from there into 20 an eye, or alternatively, into a glucose test cell 78, shown in place on a table behind the cart. The spatial filter 77 is preferably a Model 900 from Newport Optics. Glucose test cells 78 are used for in vitro measurement. The test cell 78 is machined plastic with special windows 25 of a high quality, low impurity glass with a special coating to reduce reflections of optical wavelengths selected for the lasers. Preferred coatings include a magnesium fluoride or a coating broadband near infrared coating like Newport #AR.16.

30 A set of interference filters 79, previously described, receive the pump and probe laser beams as they exit the glucose cell 78. Fiber optic cable 81 passes the detected laser beams from filters 79 to detector unit 74, which houses both the photo-voltaic diode and the low 35 noise amplifier. The output from the detector unit 74 is

then fed to the synchronous detection unit. A switchable laser temperature readout 82 may be provided to monitor the output of lasers 70, 72.

A block diagram of an alternative embodiment of the 5 present invention is shown in Fig. 8. The alternative apparatus incorporates both a probe laser 120 and a pump laser 122. These lasers are both monochromatic and both operate at the same wavelengths discussed hereinabove with respect to the preferred embodiment of Fig. 3. The pump 10 laser 122 is modulated using an AM modulation source 126, such as HP 3314A.

The output of probe laser 120 is connected to an optical coupler 150 which is reversed to split the probe laser output into two beams, one containing 5% and the 15 other containing 95% of the probe laser power.

Another fiber optic coupler 128 combines ninety-five percent of the probe laser power with the modulated pump laser beam and outputs the combined beams to Using a handset (not shown) the combined filter 130. 20 beams are then directed into the eye 140. Inside the ocular aqueous humor of the eye 140 stimulated Raman radiation causes a portion of the power at the frequency to be shifted to the probe frequency, thereby modulating the probe laser beam. As the pump probe beams 25 exit the eye they are coupled into a fiber optic cable to transport the SRS optical signal to the photo detector. The pump laser beam is filtered from the probe laser beam using a series of narrow band filters incorporated into the photodetector/amplifier 144. The modulated pump probe 30 laser beam is then transduced from an optical signal into an electrical signal using a photo-voltaic diode. electrical output from this diode is thereafter amplified an extremely large gain, low noise amplifier incorporated in photodetector/amplifier 144 to achieve the 35 signal levels compatible with a detection scheme. The

amplifier's gain is on the order of 10<sup>8</sup>. The amplifier's passband corresponds to a frequency range in which the probe laser's noise spectrum is substantially constant. The output of the photodetector/amplifier 144 is then fed 5 into a computer-based synchronous detector 156.

Five percent of the probe laser power is applied to the photodetector/amplifier 152, which is substantially similar to photodetector and amplifier 144 and which generates an electrical signal representative of the probe This output may then be fed to an 10 laser beam power. optional time delay 154 to compensate for the delay of the laser beam through the spatial filter, fiber optic coupler However, since this and photodetector amplifier path. time delay is small and is physically hard to realize, eliminated also be delay 154 may 15 time substantially effecting the accuracy of the D-glucose measurement.

The computer-based synchronous detector 156 compares the photodetector/amplifier output 144 with the output of and photodetector/amplifier 20 the AM modulator 126 output, allowing the computer-based synchronous detector 156 to compensate for amplitude variations in the probe laser beam caused by internal noise and thermal drift of A data acquisition/interface board to the probe laser. from the signals connects 25 the computer photodetector/amplifiers 144, 152 to the computer. The data acquisition/interface board preferably consists of 3 Two of these channels are 16-bit primary A/D channels. is only resolution the third channel and The 16-bit channels are used to convert the 30 resolution. SRS signal and the PROBE Noise signal, while the 8-bit modulation The signal. the converts channel specifications should meet or exceed the following:

16-bit Converters - Analog Devices (1376A)

35 16-bit Track-Hold - Analog Devices (389KD)

## Amplifiers

- 8-bit Converter Analog Devices (574A)
- 8-bit Track-Hold -Analog Devices (HTC-0300)

A sampling rate of 10 KHz is used so the Nyquist frequency 5 is 5 KHz. Appropriate anti-aliasing filters should be used prior to conversion which limit the bandwidth of the input signals to <5KHz. Also there are four secondary A/D inputs to monitor various background activities like laser Once the analog signals are converted to temperature. values they are converted to 10 digital floating numbers and stored in memory arrays by low level programming. Currently the data is processed by algorithms to yield a stable SRS value for a given glucose concentration. These algorithms include the following:

- 15 1) The use of infinite impulse response (IIR) filters, such as a Butterworth filter, to further narrow the bandwidth of the signal.
  - 2) The subtraction of amplitude noise originating on the PROBE Laser.
- 20 3) A conventional cross-correlation algorithm to yield a final result.

An alternate approach to the optical fiber band system described above would be to use entirely bulk optics in the system, thus eliminating the optical fibers completely. This bulk optics implementation, although more costly, will yield a higher system sensitivity than

- more costly, will yield a higher system sensitivity than the optical fiber based system. This arises from the elimination of one of the sources of "leak through" signals and the conversion to single-mode laser diodes.
- 30 Current technology limits the use of these high power single-mode laser diodes to a bulk optic system. These single-mode laser diodes concentrate their optical power into a much narrower spectral line which will greatly improve the SRS signal to noise ratio.
- As illustrated in Fig. 9, the output of each laser

220,222 of the bulk optic system is delivered to beam collimating optics 221, 223, which preferably consist of a pair of cylindrical lenses for each laser, to focus the to their orthagonal axes along beam laser 5 collimated beam from the asymetrical cone shaped output of the lasers. Optical isolators 224, 225 are provided to prevent reflection of the beams back into the lasers. The isolators can be broad band isolators covering the band width of 750 to 950 nm, such as the Newport ISO-7885 10 optical isolator. The output of pump laser 222 passing through optical isolator 224 is delivered to an optical chopper 226 or electric optic modulator which preferably operates at lkHz, though may be operated at different frequencies depending on the modulation desired for the 15 pump laser output. Beam samplers 227, 260 are provided to reflect a small sample portion of the beam or beams, the amount of the sample depending upon the angle of placement of the beam sampler in the path of the beam. Beam sampler 227 is used to provide a small sample of the probe laser 20 output to the photodetector and amplifier 252, the output of which 254 provides a probe laser noise signal to the computer based synchronous detection system 256. sampler 260 provides a small sample of the beams provided The 230. output spatial filter 25 photodetector and amplifier 262 coupled with the sampler 260 provides a synchronous detection reference signal 266 to the computer based synchronous system 256. An optical spectrum analyzer 264 is used to monitor the beams provided to the spatial filter 230 in the laboratory 30 setting because the single mode lasers have a tendency to "mode hop" and change the wavelength of their output.

The rest of the path in Fig. 9 beginning with the spatial filter 230, the glucose sample 40 through to the computer based synchronous detection system 256 is the 35 same as the embodiments discussed above with the

exception of the addition of the prism or grading 241. If a prism is used, a standard dispersing prism is preferred.

From the bulk optic system block diagram of Fig. 9 one 5 can see that this system is functionally equivalent to the optical fiber based system. The major differences between the two implementations are as follows:

- Now the polarization of the optical fields must be carefully controlled. It is vitally important that both
   the pump laser wavelength and the probe laser wavelength have nearly the same polarization of their optical fields. Here linear polarization is maintained throughout the system.
- 2) Due to technological limitations, the 15 single-mode laser diode's optical power cannot modulated by the modulation of its current. This is due to the fact that the wavelength of the laser diode's output power does depend upon its current. Thus, both laser diodes are operated with a constant current source.
- 20 Now the pump laser's optical power is modulated by an optical chopper 226 which yields an equivalent optical power modulation to the optical fiber based system.
- 3) A key addition to this system is an optical isolator 224, 225 which is used with each laser diode to 25 minimize reflections of the optical power back into the laser cavity. This is very important since the reflected power can cause the laser diode to change its wavelength during operation. This phenomenon has been observed in the optical fiber based system.
- 4) The spatial filter 230 is now required to insure that the optical power from each laser is collinearly focused through the glucose solution 240. The glucose solution may be in an optical test cell or in the ocular aqueous humor.
- 35 The addition of the optical spectrum analyzer 264 is

optional. Its purpose is to monitor a portion of the optical power to insure the proper optical wavelengths are present. Also the wavelengths for the single-mode laser diode have been changed slightly due to the availability from the manufacturer. The difference between these two wavelengths still coresponds to a frequency difference of 518 cm<sup>-1</sup> as previously discussed.

It will be obvious to those skilled in the art that many variations may be made in the embodiment chosen for 10 the purpose of illustrating the best mode of making and operating the present invention, without departing from the scope thereof as defined by the appended claims.

### We claim:

 A method of non-invasively measuring the concentration of an Raman active molecule in ocular aqueous humor using stimulated Raman spectroscopy,
 comprising the steps of:

generating a modulation signal;

emitting a probe laser beam having a first
wavelength;

emitting a pump laser beam having a second 10 wavelength differing from said first wavelength by a third wavelength selected to be within a characteristic Raman shift spectrum for the Raman active molecule;

modulating said pump laser beam using said modulation signal;

- directing said probe laser beam and said modulated pump laser beam into the ocular aqueous humor thereby stimulating Raman scattered radiation, said Raman scattered radiation inducing fluctuations in said probe laser beam, said fluctuations being related to the
- 20 concentration of the Raman active molecule in the ocular aqueous humor, said probe laser beam exiting the ocular aqueous humor;

detecting said probe laser beam after it exits the ocular aqueous humor;

converting said detected probe laser beam into a Raman electrical signal; and

producing a signal representative of the Raman active molecule in the ocular aqueous humor from said Raman electrical signal and said modulation signal.

- 30 2. The method of Claim 1 wherein said Raman active molecule is D-glucose.
  - 3. The method of Claim 2 wherein said first wavelength is about 0.83  $\mu\text{m}.$
- 4. The method of Claim 3 wherein said second 35 wavelength is about 0.8  $\mu m_{\odot}$

- 5. The method of Claim 1 wherein the step of modulating said pump laser beam comprises amplitude modulating said pump laser beam.
- 6. The method of Claim 5 wherein said step of 5 emitting the probe laser beam includes maintaining the power output of the probe laser beam substantially constant.
- 7. The method of Claim 1 further comprising the step of spatially filtering said probe laser beam and said 10 modulated pump laser beam before said directing step.
  - 8. The method of Claim 1 further comprising the step of amplifying said detected probe laser beam.
- 9. The method of Claim 1 wherein the step of producing a signal representative of the Raman active 15 molecule includes digital signal analyzing the Raman electrical signal to produce an electrical signal representative of the concentration of the Raman active molecule.
- 10. The method of Claim 1 wherein the step of 20 producing a signal representative of the Raman active molecule includes use of a synchronous detector to produce the signal representative of the Raman active molecule.
- 11. The method of Claim 1 wherein the power output of the pump layer beam is maintained at a higher level 25 than the power output of the probe laser beam.

- 12. An apparatus for non-invasively measuring the concentration of an Raman active molecule in ocular aqueous humor using stimulated Raman spectroscopy, comprising:
- means for generating a modulation signal;
  means for emitting a probe laser beam having a
  first wavelength;

means for emitting a pump laser beam having a second wavelength differing from said first wavelength by 10 a third wavelength selected to be within a characteristic Raman shift spectrum for the Raman active molecule;

modulating means for modulating said pump laser beam using said modulation signal;

means for directing said probe laser beam and 15 said modulated pump laser beam into the ocular aqueous humor thereby stimulating Raman scattered radiation, said Raman scattered radiation inducing fluctuations in said probe laser beam said fluctuation being related to the concentration of the Raman active molecule in the ocular aqueous humor; said probe laser beam exiting the ocular aqueous humor;

means for detecting the probe laser beam after it exits the ocular aqueous humor;

means for converting said detected probe laser 25 beam into a Raman electrical signal; and

means for producing a signal representative of the Raman active molecule in the ocular aqueous humor from said modulation signal and said Raman electrical signal.

- 13. The apparatus of Claim 12 wherein said Raman 30 active molecule is D-glucose.
  - 14. The apparatus of Claim 13 wherein said first wavelength is about 0.83  $\mu m\,.$
  - 15. The apparatus of Claim 14 wherein said second wavelength is about 0.8  $\mu\text{m}\,.$

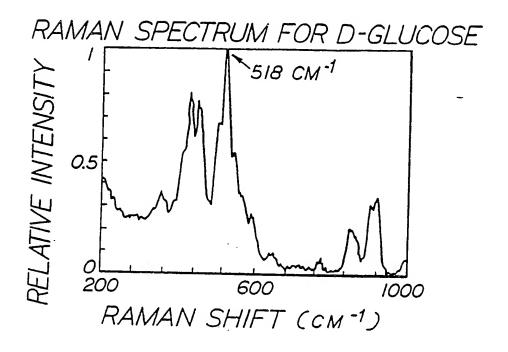
- 16. The apparatus of Claim 12 wherein said means for emitting said probe laser beam comprises a first monochromatic laser.
- 17. The apparatus of Claim 12 wherein said means 5 for emitting said pump laser beam comprises a second monochromatic laser.
  - 18. The apparatus of Claim 12 wherein said means for directing includes a fiber optic coupler and fiber optic cable.
- 10 19. The apparatus of Claim 12 further comprising means for amplifying said detected probe laser beam.
- 20. The apparatus of Claim 12 further comprising means for digital signal analysis of said detected probe laser beam to produce an electrical signal representative 15 of the Raman active molecule.
  - 21. The apparatus of Claim 12 wherein said means for detecting and said means for converting comprise a photodetector.
- 22. The apparatus of Claim 12 wherein said means 20 for producing said signal representative of the Raman active molecule comprises a synchronous detector.
  - 23. The apparatus of Claim 12 wherein said means for producing said signal representative of said Raman active molecule comprises a dynamic signal analyzer.
- 25 24. The apparatus of Claim 12 wherein said means for producing said signal representative of said Raman active molecule comprises a computer based synchronous detection system.
- 25. The apparatus of Claim 12 wherein the means for 30 emitting a probe laser includes a power supply for maintaining the output of the probe laser substantially constant.
- 26. The apparatus of Claim 12 wherein the signal representative of said Raman active molecule is 35 representative of the concentration of said Raman active molecule.

- 27. An apparatus for non-invasively measuring the concentration of an Raman active molecule in the ocular aqueous humor, comprising:
- a probe laser emitting a probe laser beam, 5 having a first wavelength;
- a pump laser emitting a pump laser beam, having a second wavelength which differs from said first wavelength by a third wavelength selected to be within a characteristic Raman shift spectrum for the Raman active 10 molecule;
  - a signal generator generating a modulation signal;
  - a modulator receiving said modulation signal and said pump laser beam;
- a fiber optic coupler receiving said probe laser beam and said modulated pump laser beam and directing said laser beams into the ocular aqueous humor thereby stimulating Raman scattered radiation, said Raman radiation inducing fluctuations in said probe laser beam,
- 20 said fluctuations being related to the concentration of the Raman active molecule in the ocular aqueous humor, said probe laser beam exiting the ocular aqueous humor;
  - a photodetector receiving said probe laser beam and producing an electrical signal; and
- an amplifier developing a dc voltage representative of the concentration of the Raman active molecule in the ocular aqueous humor from said electrical signal and said modulation signal.
- 28. The apparatus of Claim 22 wherein said Raman 30 active molecule is D-glucose.
  - 29. The apparatus of Claim 23 wherein said wavelength is about  $0.83\mu m$ .
  - 30. The apparatus of Claim 24 wherein said second wavelength of about 0.8  $\mu m_{\odot}$

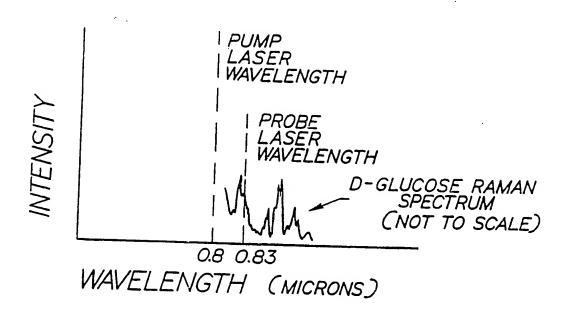
WO 92/10131 -28-

31. The apparatus of Claim 22 wherein said modulator comprises an amplitude modulator.

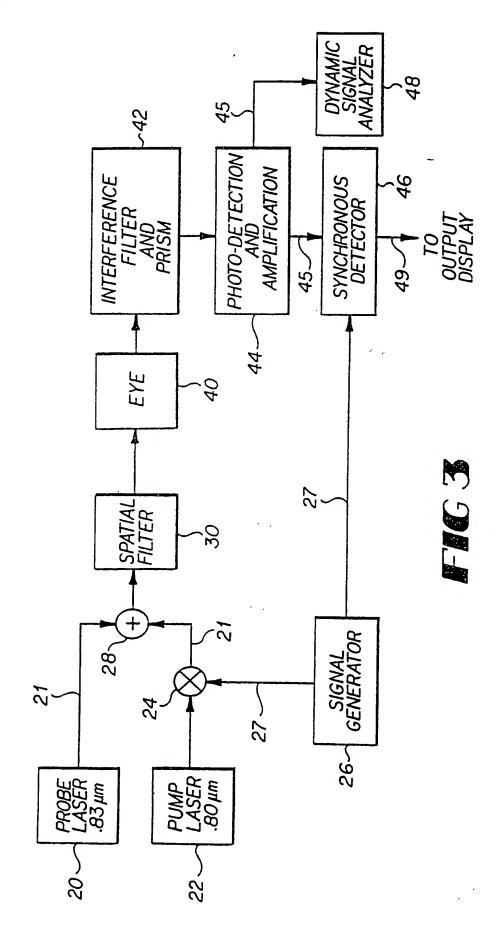
- 32. The apparatus of Claim 26 wherein said probe laser emits said probe laser beam having a substantially 5 constant amplitude.
  - 33. The apparatus of Claim 27 wherein said detector comprises a synchronous detector.
  - 34. The apparatus of Claim 22 wherein said detector comprises a dynamic signal analyzer.

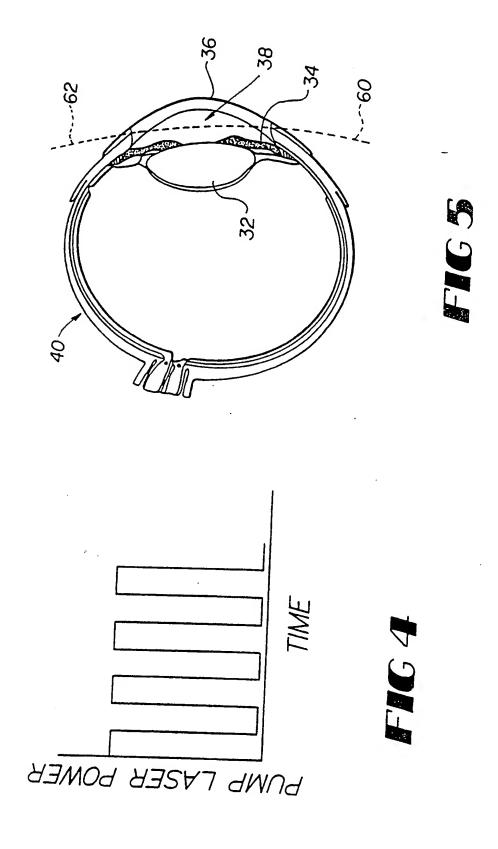


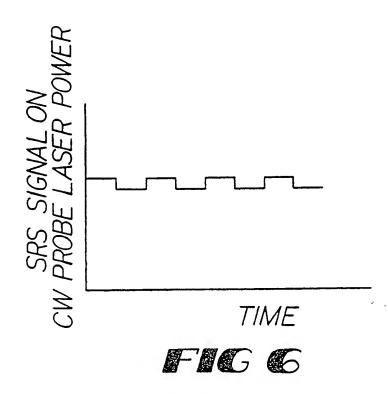
## FIG 1



# FIG 2







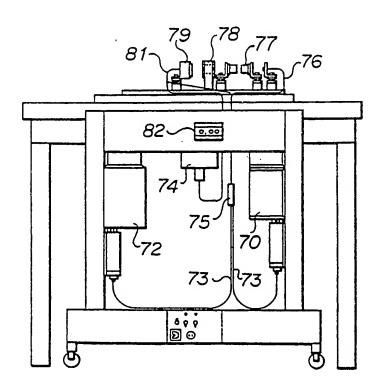
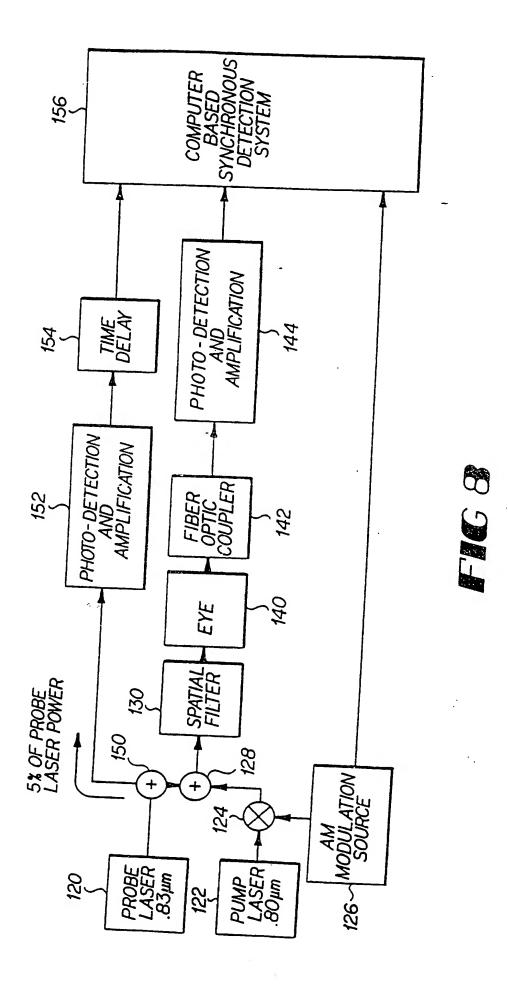


FIG 7



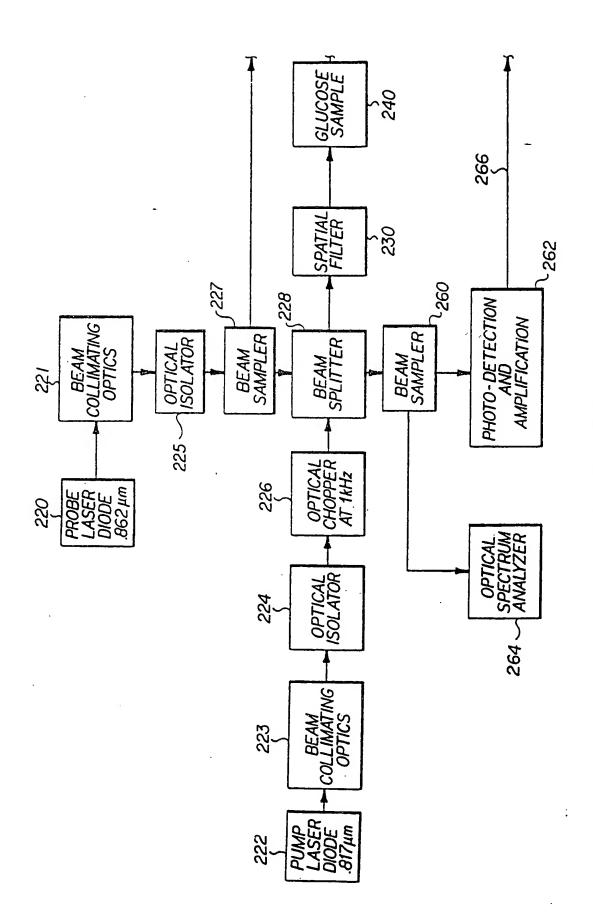
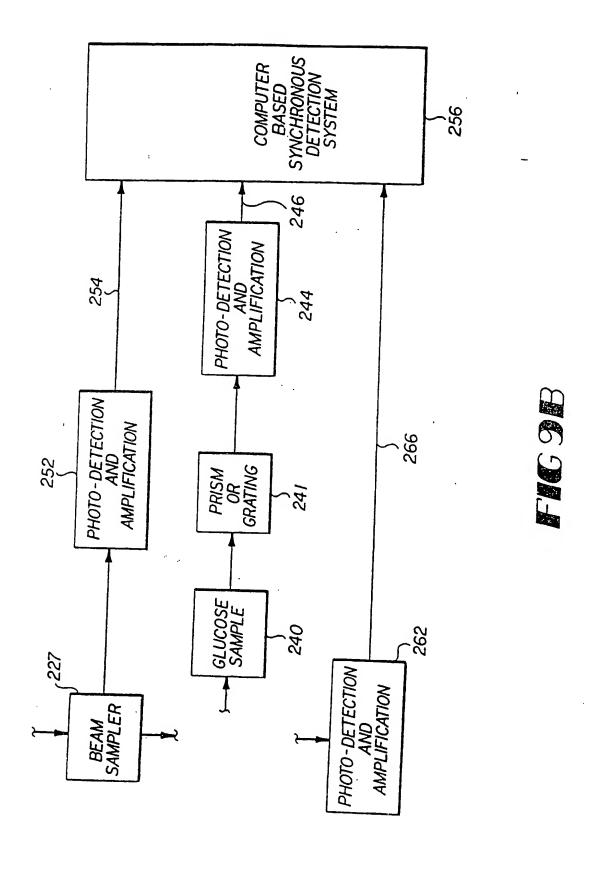


FIG 94



L. CLASSIFICATION OF SUBJECT MATTER (If several classification symbols apply, indicate all)\* According to International Patent Classification (IPC) or to both National Classification and IPC Int.C1. 5 A61B5/00 II. FIELDS SEARCHED Minimum Documentation Searched Classification Symbols Classification System **G01N** Int.Cl. 5 A61B ; Documentation Searched other than Minimum Documentation to the Extent that such Documents are Included in the Fields Searched III. DOCUMENTS CONSIDERED TO BE RELEVANT Relevant to Claim No.13 Category o Citation of Document, 11 with indication, where appropriate, of the relevant passages 12 1, 12, 27 DIABETES CARE vol. 5, no. 3, May 1982, pages 254 - 258; W.F.MARCH ET AL.: 'Noninvasive Glucose Monitoring of the Aqueous Humor of the Eye: Part I. Measurement of Very Small Optical Rotations' cited in the application see the whole document 1,12,27 DIABETES CARE vol. 5, no. 3, May 1982, pages 259 - 265; W.F. MARCH ET AL.: 'Noninvasive Glucose Monitoring of the Aqueous Humor of the Eye: Part II. Animal Studies and the Scleral Lens' cited in the application see the whole document \* Special categories of cited documents: 10 "I" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the document defining the general state of the art which is not considered to be of particular relevance invention. earlier document but published on or after the international "X" document of particular relevance; the cisimed invention filing date cannot be considered novel or cannot be considered to involve an inventive step document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled document referring to an oral disclosure, use, exhibition or other means document published prior to the international filing date but later than the priority date claimed "&" document member of the same patent family IV. CERTIFICATION Date of the Actual Completion of the International Search Date of Mailing of this International Search Report 12 MARCH 1992 24.03.92 International Searching Authority Signature of Authorized Officer **EUROPEAN PATENT OFFICE** HUNT B.W.

Category o	Citation of Document, with indication, where appropriate, of the relevant passages	Relevant to Claim No
A	OPTICS LETTERS.  vol. 1, no. 5, November 1977, NEW YORK US  pages 152 - 154;  A.OWYOUNG ET AL.: 'Stimulated Raman spectroscopy  using low-power cw lasers'  cited in the application  see the whole document	1,12,27
	US,A,4 832 483 (S.P.VERMA) 23 May 1989 see the whole document	1,12,27
	US,A,4 014 321 (W.F.MARCH) 29 March 1977 see the whole document	1,12,27
	$\cdot$ .	
	•	
	·	

## ANNEX TO THE INTERNATIONAL SEARCH REPORT ON INTERNATIONAL PATENT APPLICATION NO. US 9108416 SA 54187

This annex lists the patent family members relating to the patent documents cited in the above-mentioned international search report. The members are as contained in the European Patent Office EDP file on

The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information. 12/03/92

Patent document cited in search report	Publication date		Patent family member(s)	
US-A-4832483	23-05-89	None		· ·
US-A-4014321	29-03-77	US-A- DE-A- GB-A- JP-A-	3958560 2538985 1521113 51075498	25-05-76 26-05-76 09-08-78 30-06-76

PO FORM POCH